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(71) Applicant: CARDIAC SCIENCE, INC. [US/US]; 16931  
Millikan Avenue, Irvine, CA 92606 (US).

(72) Inventors: COHEN, Raymond, W.; 6510 E. Saint Ger-  
main Circle, Orange, CA 92869 (US). LIN, Dongping; 13  
Glenn, Irvine, CA 92620 (US). NORTON, Mike; 5721  
Peacock Lane, Riverside, CA 92505 (US). BRADLEY,

Patrick; 609.5 Regonia, Corona del Mar, CA 92625 (US).  
MEZACK, Gary; 2656 Corydon Avenue, Norco, CA  
92860 (US). CLARK, David; 24 Palmatum, Irvine, CA  
92620 (US).

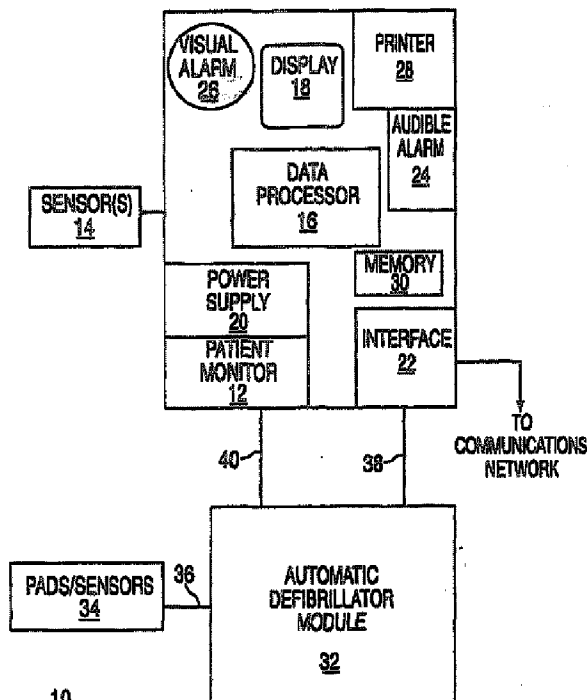
(74) Agents: RACKMAN, Michael, I. et al.; Gottlieb Rack-  
man & Reisman, P.C., 270 Madison Avenue, New York,  
NY 10016-0601 (US).

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(54) Title: AN AUTOMATIC DEFIBRILLATOR MODULE FOR INTEGRATION WITH STANDARD PATIENT MONITORING EQUIPMENT



(57) Abstract: A defibrillator module (32) is described which includes a cardiac sensor (34), a pulse generator and a controller that generates commands responsive to intrinsic cardiac signals for the operation of said pulse generator. The defibrillator module synergistic and arranged so that it can be coupled to a generic patient monitor (12) so that the two can share certain functions. For example, operational parameters and other signals indicative of the operation of the defibrillator module can be shown to the clinician by the patient monitor (12). Data between the defibrillator module and the patient monitor is exchanged using either a standard or a customized protocol.

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**AN AUTOMATIC DEFIBRILLATOR MODULE FOR INTEGRATION WITH  
STANDARD PATIENT MONITORING EQUIPMENT**

**BACKGROUND OF THE INVENTION**

*A. Field of Invention*

5        This invention pertains to an external defibrillator module arranged and constructed to provide anti-tachyarrhythmia therapy to a patient. In particular, an automatic external defibrillator module is described which has several operational modes including a fully automatic mode in which shocks are delivered without any manual intervention, an advisory mode, a manual mode, and a pacer mode. Moreover, the  
10       invention pertains to a defibrillator module which is arranged and constructed for integration with patient monitoring equipment for sharing certain functions and information using a standard or customized protocol.

*B. Description of the Prior Art*

      Defibrillators are devices which apply electric therapy to cardiac patients having an  
15       abnormally high heart rhythm. Two kinds of defibrillators are presently available: internal defibrillators which are implanted subcutaneously in a patient together with leads extending through the veins into the cardiac chambers, and external defibrillators which are attached (usually temporarily) to the patient. External defibrillators are used in most

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instances in case of an emergency, for example, when a patient has either suffered cardiac arrest or where a cardiac arrest is imminent. Typically therefore external defibrillators are manual devices which must be triggered by a physician or other trained personnel.

Internal or implantable defibrillators ( and cardioverters) are implanted as a permanent  
5 solution for patients having specific well-defined cardiac deficiencies which cannot be treated successfully by other means. They generally operate in an automatic mode.

Commonly-owned U.S. Patent No. 5,474,574 discloses an external defibrillator.  
Commonly-owned U.S. Patent Nos. 4,576,170 and 5,474,574, incorporated herein by  
reference discloses external defibrillators.

10       Several patient monitoring systems are presently available in modular form which allow a clinician or other health professional to monitor and display various physiological parameters of a patient. Typically these units include several subassembly modules which cooperate to acquire data from the patient, to store the data electronically and to display information about a patient's physiological status. The systems may also be adapted to  
15 generate audible and/or visual alarms when certain criteria are met. Some systems may also be integrated into a communications network covering, for example, a part or even a whole hospital and on which data is exchanged for various purposes. Monitoring systems of this kind are available from GE Marquette Medical Systems of Milwaukee, Wisconsin; Agilent Technologies of Andover, MA; Spacelabs Medical of Redmond, Washington, and  
20 many other companies. However, typically these systems are passive in that their main purpose is to monitor, collect information and generate alarms. These systems can not provide therapy.

## OBJECTIVES AND SUMMARY OF THE INVENTION

An objective of the present invention is to provide an automatic defibrillator module which is capable of detecting a current cardiac condition of a patient and of providing appropriate therapy to the patient, when needed.

5       A further objective is to provide an automatic defibrillator module which can be interfaced with a patient monitoring system in a manner which allows the system and the module to share information and other common functions.

Yet another objective is to provide an external defibrillator module with several modes of operation, including an automatic mode in which shocks are applied on demand  
10 in accordance with preprogrammed shock parameters and without any prompting from an attendant, an advisory mode in which an attendant is alerted to a shockable rhythm however the application of shocks must be initiated by the attendant, a manual mode in which the attendant determines how and when shocks should be applied and the preprogrammed shock parameters are ignored, and a pacer mode for pacing certain cardiac  
15 events.

Other objectives and advantages of the invention will become apparent from the following description of the invention.

Briefly, a composite monitoring system constructed in accordance with this invention comprises a patient monitor including a sensor arranged to sense a physiological  
20 characteristic of a patient and a signal processor coupled to said sensor and adapted to process the signal from said sensor and an output member; and a defibrillator module

adapted to be selectively coupled to said patient monitor, said defibrillator module including a pulse generator responsive to commands to generate therapeutic pulses for the patient, and a data generator arranged to generate indication signals indicative of an operation of said defibrillator module; wherein said patient monitor and said defibrillator  
5 module cooperating when coupled to transfer said indication signal to said output member whereby said output member generates output signals corresponding to one of said patient characteristic and said indication signals. The patient monitor may include a display that can be used to show signals or data associated with either the physiological characteristics being monitored or information pertaining to the operation of the defibrillator module.

10 The patient monitor could also include audible and visual alarms, a printer, and a connection to a network through which data could be sent to a remote location. All these components could be shared between the patient monitor and the defibrillator module. Data between the defibrillator module and the patient monitor is exchanged using either a protocol standardized for the monitor or by using a customized protocol.

## 15 BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 shows a block diagram of a combined patient monitor and automatic defibrillator system;

Fig. 2 shows a somewhat schematic isometric view of the automatic defibrillator module for the system of Fig. 1; and

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Fig. 3 shows a block diagram for the control assembly of the automatic defibrillator module incorporated into the system of Fig. 1.

Fig. 4a shows a circuit illustrating the "totem-poling" of two SCRs so that the combination of the two devices can withstand a higher voltage than a single device;

5 Figs. 4b, 4c and 4d are, respectively, the substrate construction, circuit symbol and I=V characteristics of a Shockley diode;

Fig. 4e is a circuit diagram of a breakover USD;

Figs. 4f, 4g and 4h are, respectively, a circuit diagram, circuit symbol and I=V characteristics of a breakunder USD;

10 Figs. 5a and 5b are, respectively, the circuit symbol and a circuit diagram for a breakunder USD with hysteresis;

Fig. 6 is a circuit diagram of a defibrillator using a first implementation for the pulse generator;

Fig. 7 is a circuit diagram of a of defibrillator using a second implementation of a  
15 pulse generator;

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Fig. 8 is an example of the waveform that can be produced by the implementation of Fig. 7;

Fig. 9 is a circuit diagram of a defibrillator showing a third implementation of the pulse generator;

5 Fig. 10 is a circuit diagram of a fourth implementation of the pulse generator;

Fig. 11 illustrates a pulse generator with the output circuit being implemented as a single encapsulated integrated circuit component;

Fig. 12a is a circuit diagram of a fifth implementation of the pulse generator; and

Fig. 12b is an example of the waveform that can be produced by the embodiment  
10 of Fig. 12a.

#### DETAILED DESCRIPTION OF THE INVENTION

Referring now to Fig. 1, a combined system 10 constructed in accordance with this invention includes a generic patient monitor 12 which is connected to one or more sensors 14 extending to the patient (not shown). The sensors 14 are used to obtain one or more  
15 physiological indicia from the patient, such as temperature, pressure, heart rate, respiration function, and so on. The monitor 12 includes a data processor 16, a display 18, a power



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supply 20, and data interface 22, which may for example be a standard serial or parallel port or any other data interface that may be used to exchange information with other components and/or a communications network (not shown). The monitor 12 may also include an audible alarm 24, a visual alarm 26 and a printer 28.

5       The data processor 16 in monitor 12 collects information from the patient through the sensors, processes this information and based on its programming, generates reports on the status of the patient. This status may be shown on display 18, and selectively a hard copy of the reports may be provided by printer 28. The status information may also be transmitted to remote locations via the interface 22 and the communications network.

10       The monitor 12 may also include a memory 30 for logging the information regarding the status of the patient. The data processor 16 may also be adapted to determine if certain of the physiological parameters exceed certain preselected ranges or threshold values, these ranges or threshold values being selected to correspond to indicate abnormal or dangerous conditions for the patient. When such an event is detected, the data processor can activate

15       the audible and/or the visual alarms 24, 26 to indicate that a danger condition has been detected.

As seen in Fig. 1, associated with monitor 12 there is provided an automatic defibrillator module (ADM) 32. The ADM is connected to its own set of sensors or defibrillator pads 34 via a cable 36. The purpose of providing the ADM 32 as a module

20       rather than a stand-alone unit is so that it can share some of the functions and components of the monitor 12. For this purpose, the ADM 32 is connected to monitor 12 via a data

cable 38. Power to the ADM 32 can be provided by the power supply via a cable 40, or alternatively, the cable 40 may be connected to a standard line voltage outlet (not shown).

Referring now to Fig. 2, the ADM 32, in this configuration, consists of three assemblies: a battery pack 42, an assembly 44 including an AC power supply and biphasic pulse generator and a control board 46. The battery pack 42 consists of one or more batteries, which may be re-chargable by using energy from the AC power supply. The AC power supply is connected by cable 40 to monitor 12 or other line voltage source. The battery pack is used to provide high energy to the biphasic pulse generator of assembly 44. This energy is used as backup power source in the event of a AC power failure. The defibrillation pulses are transmitted by the cable 36 to the patient. For this purpose, the assembly 44 the cable 36 is provided with a connector 48 which mates with a connector 50 on the assembly 44.

The control assembly 46 contains the components required to control the operation of the ADM 32. As seen in Fig. 2, the assembly 46 includes a front face 52 formed with a display 54. Also provided on face 52 are a control knob 56 and a plurality of panel switches 58. Built into each of the switches 58 is an indication light 60. These lights are optional and may be omitted.

The control assembly 46 is further provided with two serial ports 62, 64. Serial port 62 is connected via cable 38 to monitor 12. The other port 64 may be used to connect the ADM 32 to other components.

The overall functionality of each component is more important than the number and the functional partition of assemblies. Another implementation may comprise more or less assemblies.

Fig. 3 shows a block diagram of the ADM 32. The control assembly 46 includes a microprocessor or controller 66, a flash memory 68 and a custom control IC 70 which may be made using, for example, an FPGA design. The microprocessor 66 is connected to the serial port 62, switches 58, indicator lights 60 and the flash memory 68 and the custom IC 70 so that it can control the operation of the ADM 32. The flash memory 68 is used to store various operational parameters of the ADM 32 which can be either preset or selected by a clinician. These parameters can be set through the menu control knob 58 in conjunction with the switches 60 or via the patient monitor.

The lights 60 are activated either by the microprocessor 66 or by the custom IC 70. As discussed above, the ADM 32 is capable of generating audible as well as visual indication signals. The audible signals can be transmitted to the monitor 12 and/or to external speakers 72 (not shown in Figs. 1 and 2). These audible signals can be generated by the microprocessor 66 and/or the custom IC 70.

Component 50 includes a power supply 74, a battery charger 76, an alarm power unit 78 and a biphasic pulse generator 80 (all shown in Fig. 3). The alarm power unit 78 provides the power required to drive the speakers 72 (if present). The battery charger 76 is used to charge the battery pack 42.

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The biphasic pulse generator 80 receives dc power either from the power supply 74 or from the battery pack 42. The pulse generator 80 generates biphasic pulses in accordance with commands from the microprocessor 66.

A somewhat preferred implementation for the biphasic pulse generator 80 is now described, it being understood that this implementation does not constitute a part of the subject invention, and that other implementations may be used as well.

The pulse generators described herein use devices or circuits having the characteristics of Shockley diodes, and which are referred to herein as uncontrolled solid state devices (USDs) as defined above. Unlike SCRs and IGBTs, a Shockley Diode does not require a gate drive signal to initiate it from a high impedance state to a state of lower impedance. Fig. 4b shows the substrate construction of a Shockley diode as a four layer silicon device with respective doping densities P1, N1, P2 and N2.

Fig. 4c shows the symbol used to denote a Shockley diode; note that there are only two connecting terminals. Essentially a Shockley diode is uni-directional in that it can only change from its default high impedance state to a state of reduced impedance when the polarity of the applied signal is in a particular direction to forward bias the device, see Fig. 4d. Applying a signal of opposite polarity will fail to change the device's state unless the voltage exceeds its reverse breakdown voltage ( $V_r$ ). A characteristic of a Shockley diode is that as a voltage is applied across the device in the forward bias direction, the device will only change to its lower impedance state if the voltage exceeds a predetermined threshold ( $V_{th}$ ). Shockley diodes, however, are not readily commercially

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available and those that are typically only capable of withstanding small voltages and currents. However, this limitation can be overcome by arranging other commercially available devices to perform the equivalent function for high voltages and currents.

Fig. 4e is a high voltage, high current, implementation of a "breakover" USD, 5 equivalent to a Shockley diode, using a DIAC and a TRIAC. Note that the overall circuit of Fig. 3 has only two terminals, an anode A' and a cathode K'. The TRIAC will change to a state of low impedance allowing a high current to flow when an appropriate voltage is applied to its gate terminal g. The combination of resistors R1 and R2 form a voltage divider, dividing the voltage V down to a voltage Vb, referenced to the cathode K', at the 10 base of the transistor T1, where  $V_b = V[R_2/(R_1 + R_2)]$ . The emitter follower configuration of transistor T1 keeps the voltage applied to the DIAC at point X at approximately 0.7 Volts below the voltage Vb.

The DIAC will remain in its default high impedance state unless the voltage across it exceeds its threshold voltage Vd. Unless this voltage threshold is exceeded therefore, the 15 USD will remain high impedance between A' and K'. If, however, the voltage at X exceeds the DIAC's threshold Vd, the DIAC will fold back and allow a voltage to appear at the gate of the TRIAC, and the TRIAC will then change to its low impedance state allowing a high current to flow between A' and K'. The overall voltage at which the USD changes state can therefore be accurately set by the voltage divider R1/R2. If the USD is 20 desired to change to its low impedance state when the voltage V across it, i.e. across the terminals A' and K', reaches a certain threshold Vth, then the values of R1 and R2 are chosen such that this voltage Vth causes the voltage at X to be equal to the DIAC

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threshold voltage  $V_d$ ; i.e. one solves the equation  $V_d = [V_{th}(R_2/(R_1+R_2))]-0.7$  for  $R_1$  and  $R_2$ . Resistor  $R_3$  limits the current flow into the gate terminal of the TRIAC and prevents the gate from being damaged by the relatively high voltage across the terminals A' and K'. Note that with the state change of the device being determined by the ratio of  $R_1$  and  $R_2$ , 5 and the supply to the DIAC being performed by  $R_3$  through the current gain of T1, the values of both  $R_1$  and  $R_2$  can be kept high. Using high impedance values for  $R_1$  and  $R_2$  means that in the high impedance state there is very little current leakage through the USD. The diode D1 opposes any current flow in the reverse bias direction and in effect determines the reverse breakdown characteristics for the USD.

10 Note that any device which can be placed in a low impedance state from an initial state of high impedance could be used in place of the TRIAC in Fig. 4e, for example the USD could have employed a combination of IGBTs, SCRs, FETs (field effect transistors) or BJTs (bipolar junction transistor). The various implementations possible will be known to those skilled in the art.

15 Fig. 4f shows another USD where the device has been configured to change to a state of low impedance if the instantaneous voltage across the anode A' and cathode K' exceeds a well defined threshold  $V_l$ , yet does not exceed an even higher voltage threshold  $V_h$ . In other words, if the voltage  $V$  applied across the device in Fig. 4f is within a well specified range from  $V_l$  to  $V_h$  the device will enter its low impedance state, while if it is 20 outside this range, the device will remain in its default high impedance mode. With this particular characteristic the device is termed a "breakunder" USD. Figs. 4g and 4h show the device's circuit symbol and I-V characteristics respectively.

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The implementation of the breakunder USD in Fig. 4f is similar to that of the breakover device in Fig. 4e. The main difference is the presence of a capacitor C1 and a second transistor T2. Capacitor C1 limits the rate of change of voltage across R1. This in turn limits the rate of change of voltage across the DIAC. Since the voltage across the DIAC is slow to rise, if the voltage Y at the base of T2, as determined by the voltage divider R4/R5, rises above the forward bias voltage across T2's base emitter-junction before the DIAC voltage reaches its threshold  $V_d$ , the transistor T2 will turn on to short the gate of the TRIAC to K' and thus inhibit any current flow into the gate of the TRIAC. Using this arrangement, the upper voltage threshold  $V_h$  can be set by the voltage divider R4/R5 and the lower threshold  $V_l$  can be set as before by R1/R2.

Any breakunder device can further be arranged so that, once a voltage has been applied across its terminals large enough to exceed the upper threshold  $V_h$  so keeping the device in the high impedance state, if the applied voltage drops in magnitude the device will remain in the high impedance state. In this mode, in order to change to the low impedance state, the current must be reduced to almost zero and then re-applied. This later device is referred to as a breakunder USD with hysteresis.

Fig. 5a shows the circuit symbol for a breakunder USD with hysteresis. Fig. 5b shows an implementation of the device based upon the breakunder device shown in Fig. 4. Only the differences will be described. A transistor T2 now forms a second emitter follower supplying a second DIAC, DIAC2. The voltage at point Y is designed to have a value equal to the threshold of DIAC2 when the voltage V across A', K' is equal to an upper threshold  $V_h$ . From Fig. 5b it can be seen that, unlike the voltage at point X, the

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voltage at point Y will instantaneously follow V and will be a proportion of V according to the ratio set by R4 and R5. If the voltage V causes the voltage at Y to exceed the voltage threshold of DIAC2, then a second TRIAC, TRIAC2, will enter a low impedance state. As soon as TRIAC2 enters its low impedance state, the voltage Vb at the base of T1 will reduce to almost zero. Once TRIAC2 has entered a low impedance state T1 cannot supply any current to DIAC1 and therefore the gate of TRIAC1. This "feedback" enhancement of Fig. 4 has introduced a level of hysteresis in to the arrangement. The only way now for TRIAC1 to enter its low impedance state is for the voltage across A', K' to be reduced to zero and then a new voltage applied which has a value between the lower threshold set by R1, R2 and DIAC1 and the upper threshold set by R4, R5 and DIAC2.

This device has essentially three modes, two high impedance and one low impedance. If the instantaneous voltage applied to the arrangement is below the lower threshold V<sub>L</sub>, then the combination of R1, R2 and T1 means that DIAC1 does not pass current and TRIAC1 remains in it's high impedance state. If the applied voltage is greater than the lower threshold V<sub>L</sub> and less than the upper threshold V<sub>H</sub>, then the combination of R4, R5 and T2 means that DIAC2 does not pass current and with DIAC1 now passing current, once the voltage across C1 has had sufficient time to rise, to the gate of TRIAC1, TRIAC1 enters its low impedance state. If, however, the applied voltage is greater than the upper threshold V<sub>H</sub>, then the combination of R4, R5 and T2 means that DIAC2 does pass current to the gate of TRIAC2 thereby inhibiting DIAC1 and keeping TRIAC1 in its high impedance state.



It should be noted that any of the USDs of Figs. 4e to 5 could be implemented as doped silicon layers in a single discrete integrated device. None of the devices require any external control and have the characteristic that they will conduct if the voltage across their two terminals A' and K' is either above and/or below a specified threshold. Another characteristic is that once in their low impedance state, they can only be returned to their high impedance state if the current flow through them is reduced to near zero. At exactly which current they will drop-out is dependent upon the particular device used.

Fig. 6 shows a basic implementation of a defibrillator, designed to provide a monophasic output voltage pulse across a pair of patient electrodes A and B. The defibrillator has an energy source 160, in this instance a capacitor which is charged up by a charging circuit 162, and an output circuit for connecting the voltage on the capacitor across the electrodes A, B upon the occurrence of a control signal 164. The output circuit comprises a first current path connecting the +ve side of the energy source 160 to the electrode A and a second current path connecting the -ve side of the energy source to the electrode B. The first current path contains a breakover USD, USD1(bo), while the second current path contains an IGBT, IGBT1. The breakover USD1(bo) will allow the current from the energy source 160 to flow through the load (patient) connected across the output electrodes A and B if the voltage applied from the energy source is large enough to exceed its threshold. The breakover USD1(bo) can be constructed as described with reference to Fig. 4e.

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Initially, both sides of the load see a high impedance into A and B. Applying a gate drive pulse 164 to IGBT1 turns the latter on and drops the entire energy source voltage across USD1(bo). Provided the energy source is charged to a voltage above the threshold for USD1(bo), the latter will change to its low impedance state. The energy source now begins to discharge into the load. Removing the drive pulse 164 from the gate of IGBT1 after a pre-determined time period causes IGBT1 to return to its high impedance state and the current in the circuit reduces to approximately zero. With almost zero current flow, the device USD1(bo) recovers and the load once again sees a high impedance on both sides of A and B:

10       The use of the USD between electrode A and the +ve terminal of the energy source means that there is no isolated controlling circuit connection required. The only controlling element in the circuit of Fig. 6 is the gate of IGBT1 and this is referenced to the circuit ground so no isolation barrier is needed. The conventional diode D1 is used to prevent current flow back into the charging circuitry when charging is complete. The  
15 output generated by the circuit of Fig. 6 is a simple monophasic truncated exponential waveform.

Although Fig. 6 shows only one USD in the first current path, it will be understood that the voltage that can be withstood by the output circuit in the high impedance state can be increased by totem-poling two or more USDs in the first current path, as described  
20 previously. Two or more USDs in series actually behave just like a single USD with a threshold  $V_{th}$  which is the sum of the thresholds of the individual devices.

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Fig. 7 shows an implementation of a defibrillator designed to provide a biphasic truncated exponential output voltage pulse across the patient electrodes A and B. Essentially, the implementation of Fig. 6 has been modified to add third and fourth current paths, shown by dashed lines. The third current path connects the +ve side of the energy source 160 to the electrode B and the fourth current path connects the -ve side of the energy source to the electrode A. The third current path contains two "totem-poled" SCRs, SCR1 and SCR2, while the fourth current path contains a further IGBT, IGBT2. The first and second current paths are as before, except that the first current path is shown with two totem-poled breakover USDs, USD1(bo) and USD2(bo). The USDs may be as shown in Fig. 3. For reasons previously described, the SCRs have isolated gate drives.

In operation, the energy source 160 is first charged to a voltage exceeding the threshold  $V_{th}$  of the totem-poled USDs. Then, at time  $t_0$  (see Fig. 8), the device IGBT1 is given a gate pulse 64 placing it into its low impedance state. This places substantially the entire voltage of the energy source across the totem-poled USDs (two USDs are used as previously stated to increase the voltage that the circuit can withstand). The USDs therefore turn on (the devices SCR1, SCR2 and IGBT2 remaining in their high impedance state), and a current flows through the load from electrode A to electrode B. As energy is removed from the energy source by the load, the voltage applied by the energy source decays. At a later time  $t_1$ , the IGBT1 has its gate signal removed and it returns to its high impedance state. This causes the current in the circuit to reduce to almost zero so returning the devices USD1(bo) and USD2(bo) to their high impedance states. The instant

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t1 is chosen so that at that point the voltage remaining on the energy source is below the threshold  $V_{th}$  of the totem-poled devices USD1(bo) and USD2(bo).

Now, at a time t2 following shortly after t1, the devices IGBT2, SCR1 and SCR2 are given simultaneous gate drive pulses 64' to place them in their low impedance state. Now a discharge current flows in the opposite direction through the load, i.e. from electrode B to electrode A. After a further pre-determined time period has elapsed the gate drive to device IGBT2 is removed at t3 and the current flowing in the circuit is reduced almost to zero. Again this causes the two SCRs to also return to their high impedance state. The resulting output is as shown in Fig. 8.

10 In this circuit isolated gate drives are required for the SCRs. However, only two such isolated gate drives are required in this case. The methods used by prior art would have required at least four isolated gate drive circuits. Also only four devices are required to be controlled in total instead of the six control lines previously necessary.

Fig. 9 shows a third implementation of the pulse generator. This differs from the 15 implementation of Fig. 7 in that the totem-poled SCRs, SCR1 and SCR2, have been replaced by totem-poled breakunder USDs with hysteresis, USD3(bu) and USD4(bu).

In operation, the energy source 160 is first charged to a voltage greater than the threshold  $V_{th}$  of the totem-poled breakover USDs and also greater than the upper voltage threshold  $V_h$  of the totem-poled breakunder USDs. Then, at time t0 (see Fig. 8, which 20 also applies in this case), the device IGBT1 is given a gate pulse 164 placing it into its low impedance state. This places substantially the entire voltage of the energy source across the totem-poled breakover USDs, USD1(bo) and USD2(bo). All other devices remain in

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their high impedance state (the breakunder USDs because the voltage is above their upper threshold  $V_h$ ; this is important because otherwise they would turn on and bypass the load). The breakover USDs therefore turn on and a current flows through the load from electrode A to electrode B. As energy is removed from the energy source by the load, the voltage applied by the energy source decays. At a later time  $t_1$ , the IGBT1 has its gate signal removed and it returns to its high impedance state. This causes the current in the circuit to reduce to almost zero so returning the devices USD1(bu) and USD2(bu) to their high impedance states. The instant  $t_1$  is chosen so that at that point the voltage remaining on the energy source is below the threshold  $V_{th}$  of the totem-poled devices USD1(bu) and USD2(bu) but between the upper and lower voltage thresholds  $V_l$ ,  $V_h$  of the totem-poled devices USD3(bu) and USD4(bu).

Now, at a time  $t_2$  following shortly after  $t_1$ , the device IGBT2 is given a gate drive pulse 64' to place it in its low impedance state. Now the devices USD3(bu) and USD4(bu) turn on, because the voltage applied across them is between their upper and lower voltage thresholds, and a discharge current flows in the opposite direction through the load, i.e. from electrode B to electrode A. After a further pre-determined time period has elapsed the gate drive to device IGBT2 is removed at  $t_3$  and the current flowing in the circuit is reduced almost to zero. Again this causes USD3(bu) and USD4(bu) to return to their high impedance state. The resulting output is as shown in Fig. 8.

Of particular note is that for this arrangement there are no isolated connection gate control connections to any of the devices in the circuit. Also only two devices (IGBT1 and IGBT2) require control signals and these are both direct electrical connections

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referenced to circuit ground. This is a significant saving in size and component cost. Furthermore, to control the entire circuit only requires two control signals rather than the five that would be otherwise be necessary. The control circuit can now simply pulse one IGBT, IGBT1, to produce the first phase of the output waveform and pulse the second  
5 IGBT, IGBT2, to produce the second phase of the output.

Fig. 10 shows a fourth implementation of the pulse generator. This differs from the implementation of Fig. 9 in that the two IGBTs, IGBT1 and IGBT2, have been replaced by a breakover USD, USD5(bo), and a breakunder USD, USD6(bu), respectively. Also, an IGBT (IGBT3) has been added in common to the second and fourth current paths.  
10 For simplicity the circuit uses single USDs (USD1(bo) and USD3(bu) respectively) in the first and third current paths, although as described two or more such devices can be totem-poled in each path to increase the ability of the circuit to withstand higher voltages. Although this arrangement has added another circuit element, IGBT3, the output circuit is fully automatic and all devices connected to the load across A and B are uncontrolled.  
15 The only controlling signal required is the signal to the gate of IGBT3 in the common ground return.

In operation, having charged the energy storage device 160 to a voltage greater in magnitude than the threshold of breakover devices USD1(bo) and USD5(bo), and also high enough not to enter the threshold range which would place USD3(bu) and USD6(bu)  
20 into their low impedance state, a gate drive pulse 64 applied to IGBT3 will turn on USD1(bo) and USD5(bo) and cause current to follow through the load in the direction from A to B. Removing the gate drive to IGBT3 after a pre-determined time interval will,

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as before, reduce the current in the circuit to almost zero and all devices will return to their high impedance states. Provided the voltage across the energy storage device is now less than the threshold for USD1(bo) and USD5(bo), and furthermore providing the voltage is within the threshold required to allow the break-under devices USD3(bu) and USD6(bu) to enter their low impedance states, the application of a second gate pulse 164 to IGBT3 will cause the current to flow through the load in the opposite direction from B to A. Again, this causes the biphasic waveform of Fig. 8 to be generated.

Note that not only is there no requirement for any isolated connections to any of the devices but only one single device needs to have a gate drive signal applied in order for the whole circuit to be fully operated. It will be appreciated that this arrangement means that the whole output circuit including USD1(bo), USD5(bo), USD3(bu), USD6(bu) and IGBT3 could be easily implemented as a single integrated solid state component. This would further mean that the entire output stage would be a single encapsulated integrated module only requiring 5 connections. These connections would be a common ground connection, an input from an energy source, two output connections to the electrodes A and B and a single input control connection referenced to the common ground which would control the module. Fig. 11 shows the block diagram of a circuit including such an integrated circuit 66 - note that even the gate drive circuit for the IGBT can be included in the module, leaving the control terminal into the circuit requiring a standard TTL type signal. This represents an enormous saving in terms of cost, size and complexity.

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In a fifth implementation of the pulse generator, which is a modification of that shown in Fig. 10, and may likewise be formed with the output circuit as a single integrated circuit component, the energy source is a programmable active power supply 168, rather than a passive capacitor. Referring to Fig. 12a, here the energy source is designed to supply a programmed constant DC voltage, and with this voltage set at a level above the conducting threshold  $V_{th}$  of breakover devices USD1(bo) and USD5(bo) and greater than the low impedance threshold range of break-under devices USD3(bu) and USD6(bu), the current again flows through the load from A to B. Setting the programmable power supply then to supply a voltage of zero volts for a pre-determined time interval causes all the devices to return to their high impedance state. Further setting it to supply a voltage which is less than the thresholds for USD1(bo) and USD5(bo), and within the threshold range required to allow the breakunder devices USD3(bu) and USD6(bu) to enter their low impedance state, will cause the current to flow in the opposite direction from B to A. The resulting waveform can be seen by way of example in Fig. 12b. It would also be possible to have several energy sources selectable by placing additional USDs within the circuit arrangement. Which energy source is to be used to supply the output circuit could then be selected at whatever times are desirable to achieve the pulse shape required.

It should be appreciated that further current paths containing USDs or other solid state devices could be added between the energy source and the electrodes A and B in any of the circuits described above, thereby allowing a third, fourth or subsequent phase to be added in a pre-determined polarity.



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It should also be appreciated that further protective components may be necessary for reliable operation of the circuits in practice. By way of example, an inductor could be placed in series with the output of the energy source to limit the rate of change of current in the circuit. Such additions are well known to those skilled in the art.

5 In Figs. 1-3 the cable 36 terminating in connector 48 may have several wires. In Fig. 3 three such wires are shown, 48A, 48B and 48C. Wires 48A and 48B provide a dual purpose. They are used to sense intrinsic cardiac activity, i.e., an ECG. Sensed signals are sent to the custom IC 70 which performs signal processing on these signals and then sends them to the microprocessor 66. The microprocessor uses the ECG to determine the current  
10 condition of the patient.

The second function of the wires 48A, 48B is to provide defibrillator pulses from the pulse generator 80.

An impedance detection circuit 82 may also be provided. This circuit may be connected across the wires 48A, 48B and used to detect the impedance of pads (not  
15 shown) used to apply the defibrillator pulses. This impedance is provided to the custom IC 70 and may be used to confirm that the wires 48A, 48B are not open and that the pads are attached to the patient properly.

Preferably the cable 36 and its terminating block 34A which are uniquely identified by an ID code stored in a memory 84. The terminating block 34 is connected to  
20 the electrodes or pads attached to the patient (not shown). The code stored in memory 84 can be obtained by the custom IC 70 using the third wire 48C. This code is checked before any pulses are applied to insure that the proper cable is used with the ADM 32.

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The ADM 32 is operated as follows. First, it is attached mechanically and electrically to monitor 12 so that the two can form a single, integrated, composite system 10. The mechanical connection is not described here since it can be implemented using brackets or other coupling elements well known in the art. The electrical connections 5 include the cable 40 for the power supply (if used) and a serial cable 38.

Once the ADM 32 is mounted, it can be configured, for the patient. For this purpose, the clinician operates the keys on face 52 to enter into a configuration mode or via the patient monitor. In this mode the clinician can select the parameters associated with the defibrillator therapy to be administered to the patient. The clinician can also set 10 whether the ADM 32 operates in a fully automatic mode, an advisory mode, a manual mode, or a pacer mode. Typically, in an automatic mode the ADM 32 monitors the status of the patient and if fibrillation is detected then pulses from the biphasic pulse generator are delivered to the patient automatically. In the advisory mode, the ADM32 monitors the patient and generates audio and/or visual indication of the patient's status, including an 15 indication of a fibrillation episode, makes the device ready to deliver defibrillation pulses, however, defibrillation pulses are not applied unless they are delivered by the clinician. In the manual mode the operation of the ADM 32 is under the complete control of the clinician. In the pacer mode, the clinician selects the pacing protocol and delivers the pacing pulses to the patient. The clinician enters the parameters required for all these 20 operations via the knob 56 and switches 58 in response to prompts shown in the display 54. In the Figures, the same wires are shown for both sensing the intrinsic cardiac activity of the patient and delivering the high voltage biphasic defibrillator pulses. Of

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course, separate wires, terminating in appropriate electrodes and/or pads may be used as well. In this manner, the ADM 32 can deliver defibrillation (or other kinds of), therapy to a patient using any of the protocols well known in the art. An external defibrillator describing some protocols that may be used is described in commonly owned co-pending  
5 application S.N. 09/452,507 filed December 1, 1999 entitled AUTOMATIC EXTERNAL  
CARDIOVERTER/ DEFIBRILLATOR WITH TACHYARRHYTHMIA DETECTOR  
USING A MODULATION (AMPLITUDE AND FREQUENCY) DOMAIN FUNCTION,  
incorporated herein by reference. Of course other protocols and modes of operation may  
be used as well.

10       Importantly, during its operation, ADM 32 continuously exchanges data with the  
monitor 12 over the serial cable 38. For example, the ADM 32 needs to generate a digital  
representation of the ECG for its determination of the patient's status. This digital ECG is  
transmitted to the monitor for its display 18. Under the direction of the microprocessor  
66, the ADM 32 may send various other information to the monitor 12, including, for  
15 example, its current mode of operation (manual, advisory, automatic). The ADM 32 may  
also send data descriptive of various stages of its operation, including data indicative of  
the status of the patient, the voltage on the capacitors of the biphasic pulse generator, time  
required to charge the capacitors to a nominal voltage, time until the next pulse is applied,  
time expired since the last pulse, number of pulses applied to the patient, readiness of the  
20 ADM to apply a pulse, etc. The ADM 32 may also include a self-testing feature. The  
results of this self test may also be sent to the monitor. The information sent to the  
monitor 10 may be shown immediately on display 18, may be sent to the printer 28 for a

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hard copy and may also be stored in the memory of the monitor (not shown). In addition, the data from the ADM 32 may be transmitted to other sites if the monitor is connected to a network.

The monitor 10 may also send data to the ADM 32, including acknowledgments 5 of the data received. Typically monitor 12 may be capable of monitoring one or more physiological functions of the patient such as blood pressure, arterial pulse oximetry ( $\text{SpO}_2$ ), carbon dioxide ( $\text{CO}_2$ ), respiration, and cardiac output. Some monitors may be capable of generating a digital ECG signal. While it is expected that the ECG signal detected by the ADM 32 through its electrodes may be more reliable, the external ECG 10 signal from the monitor may be used as a backup in case the ECG signal cannot be detected locally, or as a means of confirming the validity of the local ECG signal by the ADM. Moreover, the ADM 32 may be adapted to determine the condition of the patient and other information based on other physiological parameters of the patient as well. For example, the ADM 32 may use any of the physiological parameters derived by the monitor 15 12 to determine whether the patient has a cardiac condition which needs therapy to be delivered by the ADM 32. These other physiological parameters may be provided to the ADM 32 by the monitor 12 as well.

In addition, the memory 68 may be insufficient for data logging purposes.

Therefore the ADM 32 may send some data for storage in memory 30 of monitor 12. The 20 monitor 12 can then return this data to the ADM 32 as requested.

As described above, the ADM 32 is adapted to perform a self-test and to monitor the status of the patient. If the self-test indicates that the ADM 32 may be malfunctioning,

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or if a patient condition is detected which should be brought to the attention of the clinician, the ADM 32 is adapted to generate an alarm signal. This alarm signal may be used to activate the audible and visual signals on the ADM 32 and/or the monitor 12. In addition, these signals may be transmitted to a remote location via the communications 5 network connected to interface 22. The communications network may be a wired or wireless network. Therefore the term 'network' is used herein very broadly to cover any analog or digital communications network capable of transmitting information from the system 10 to another location, including local area networks, wide area networks, Internet connections, paging cellular telephones, telemetry, and satellite communications, just to 10 name a few.

Some monitors presently available are designed so that they can be interfaced with other apparatus, like the ADM 12 using a standard protocol such as Spacelabs Universal Flexport Protocol. If no such protocol is available for a particular monitor, the ADM 32 can be programmed so that it can communicate with monitor 12 using the unique protocol 15 characteristic of the monitor 12.

In summary, an automatic defibrillator module is described which can be integrated with a patient monitoring device such that the two can share various functions. More specifically, the ADM 32 includes the components necessary to analyze the condition of the patient and to generate, if necessary, therapeutic pulses. Data from the 20 ADM 32, including a digital ECG and other signals indicative of the operation of the ADM 32 are sent to the monitor 12 for display, printing, and/or storage. Thus, the ADM 32 may or may not have its own ECG display, a printer or data logging memory. It is

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expected that the overall combination of a monitor and ADM requires less space, is cost-effective and very flexible since the same ADM can be used with many different patient monitors.

In the embodiment described above, programming information for the ADM 32 is entered using the controls on the face of the ADM while patient specific information is displayed or otherwise provided on the monitor 12. Of course other arrangements may be made as well. For example, the programming information may be entered from the monitor 12 and/or some of the patient specific information can be displayed by the ADM 32. Moreover, the ADM 32 may also incorporate a printer which may be dedicated for information from the ADM 32 or may be shared by the monitor 12. Moreover, the ADM 32 may also be arranged to sense other physiological parameters besides ECG as well and to transmit the same to the monitor 12.

Obviously, numerous modifications may be made to this invention without departing from its scope as defined in the appended claims.

**CLAIMS**

*We claim:*

1. A composite monitoring system comprising:

a patient monitor including a sensor arranged to sense a physiological characteristic of a patient and a signal processor coupled to said sensor and adapted to process the signal from said sensor and an output member; and

a defibrillator module adapted to be selectively coupled to said patient monitor, said defibrillator module including a pulse generator responsive to commands to generate therapeutic pulses for the patient, and a data generator arranged to generate indication signals indicative of an operation of said defibrillator module;

said patient monitor and said defibrillator module cooperating when coupled to transfer said indication signal to said output member whereby said output member generates output signals corresponding to one of said patient characteristic and said indication signals.

2. The system of claim 1 wherein said output member includes a display generating visual images.

3. The system of claim 1 wherein said output member includes a printer adapted to print a hard copies.

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4. The system of claim 1 wherein said sensor comprises a cardiac sensor adapted to generate an external ECG and wherein said patient monitor is adapted to transmit said external ECG to said defibrillator module.
5. The system of claim 1 wherein said sensor is adapted to sense at least one of the physiological parameters selected from the group consisting of blood pressure, arterial pulse oximetry (SpO<sub>2</sub>), carbon dioxide (CO<sub>2</sub>), respiration, and cardiac output.
6. The system of claim 1 wherein said defibrillator module includes a defibrillator display.
7. The system of claim 1 wherein said defibrillator module includes a selector arranged and constructed to allow an operator to generate operational parameters for said defibrillator module, said operational parameters defining a mode of operation for said defibrillator module.
8. The system of claim 6 wherein said defibrillator module includes a defibrillator display arranged to provide information associated with the selection of said operational parameters.
9. A defibrillator module comprising:



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a physiological sensor to sense the intrinsic cardiac activity of a patient and to generate a sensor signal indicative of said intrinsic cardiac activity;

a controller arranged to receive said sensor signal and to generate corresponding commands;

a pulse generator arranged to generate therapeutic pulses for the patient in response to said commands;

an output member associated with said controller and adapted to generate output signals indicative of an operation of the defibrillator, said output signals being selected for transmittal to an external patient monitor for display.

10. The module of claim 9 wherein controller includes an arrhythmia detector arranged to receive said sensor signal and to determine a cardiac condition of the patient that requires therapy.

11. The module of claim 10 wherein said output member is adapted to receive a physiological parameter detected by said external patient monitor, and wherein said arrhythmia detector is adapted to receive said physiological monitor and to make a determination for delivering therapy to the patient based on said physiological parameter.

12. The module of claim 9 wherein said output member is coupled to said pulse generator and is adapted to generate said output signals to indicate generation of pulses by said pulse generator for said patient monitor.

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13. The module of claim 9 wherein said controller is arranged to define a plurality of modes of operation including a fully automatic, an advisory, a manual, and a pacing modes.
14. The module of claim 9 further comprising an alarm circuit arranged to generate an alarm signal indicative of one of a patient condition and a module condition.
15. The module of claim 14 wherein said module is adapted to send send alarm signal to a remote location over a communications network selected from a group consisting of hard-wired network, a wireless network, a local area network, a wide area network, the Internet, a paging system, a cellular telephone system, a telemetry system and a satellite system.
16. The module of claim 9 further comprising a display adapted to display said sensor signal.
17. Although the cardiac sensor used by arrhythmia detection algorithm is in the module of claim 8 in the current configuration, the arrhythmia detection algorithm can use the cardiac signal from the cardiac sensor in the patient monitoring system.
18. A defibrillator module comprising:

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a controller arranged to receive a sensor signal indicative of the intrinsic cardiac activity of a patient and to generate corresponding commands;

a pulse generator arranged to generate therapeutic pulses for the patient in response to said commands;

an output member associated with said controller and adapted to generate output signals indicative of an operation of the defibrillator, said output signals being selected for transmittal to an external patient monitor for display.

19. The module of claim 18 further comprising a sensor adapted to sense said sensor signal.

20. The module of claim 18 wherein said controller is adapted to receive said sensor signal from said external patient monitor.

21. The module of claim 18 further comprising a physiological parameter detector that detects a physiological parameter, said controller being adapted to transmit said physiological parameter to said external patient monitor for display and processing.

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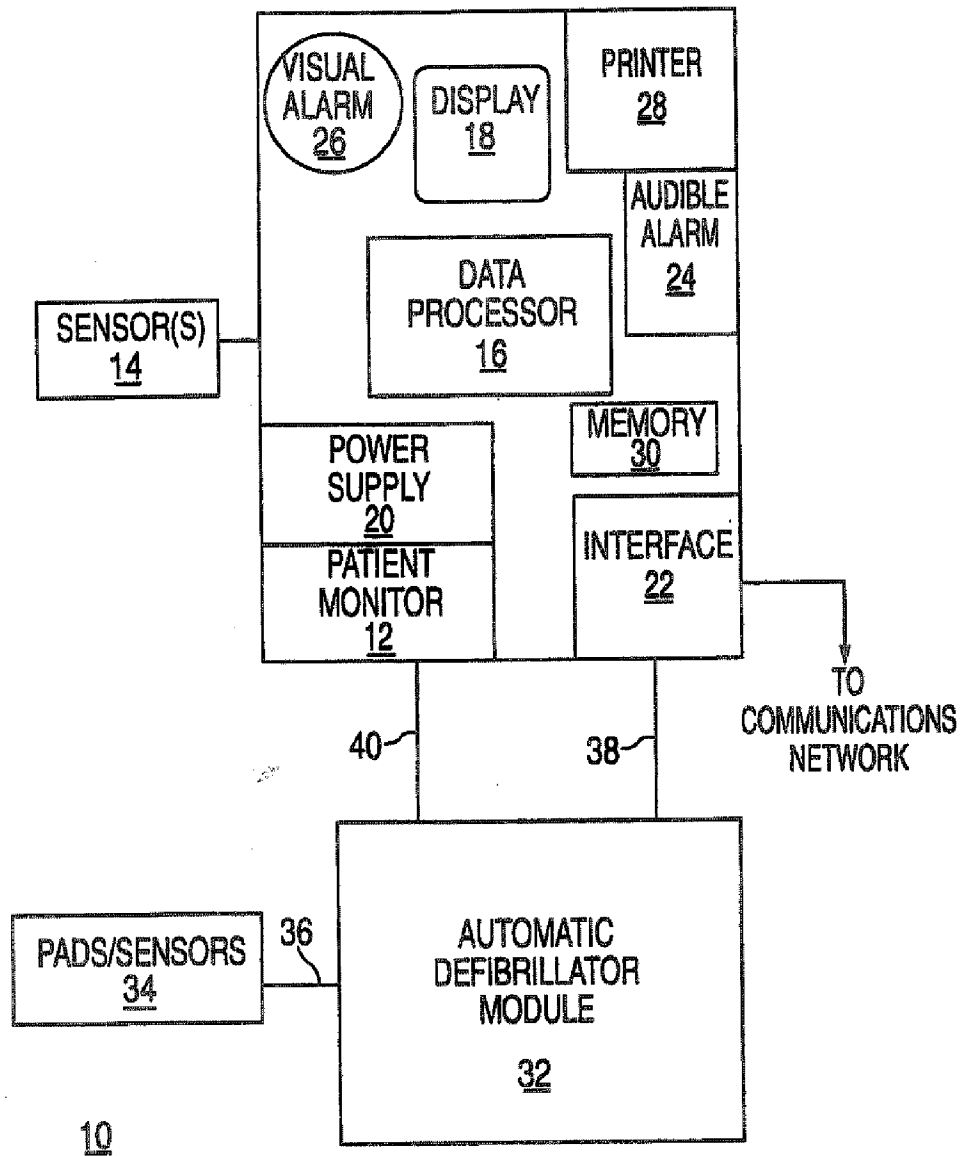


FIG. 1

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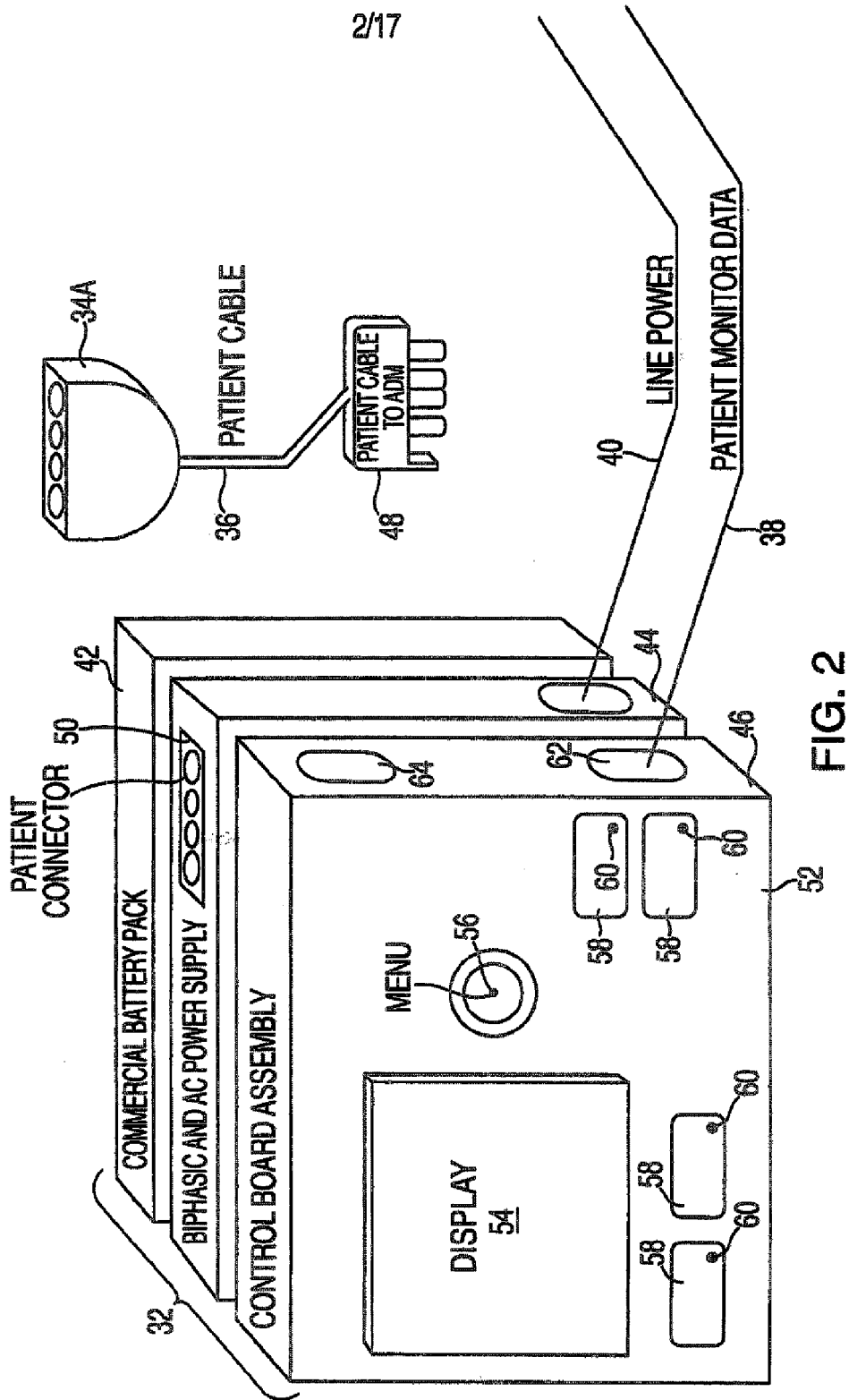
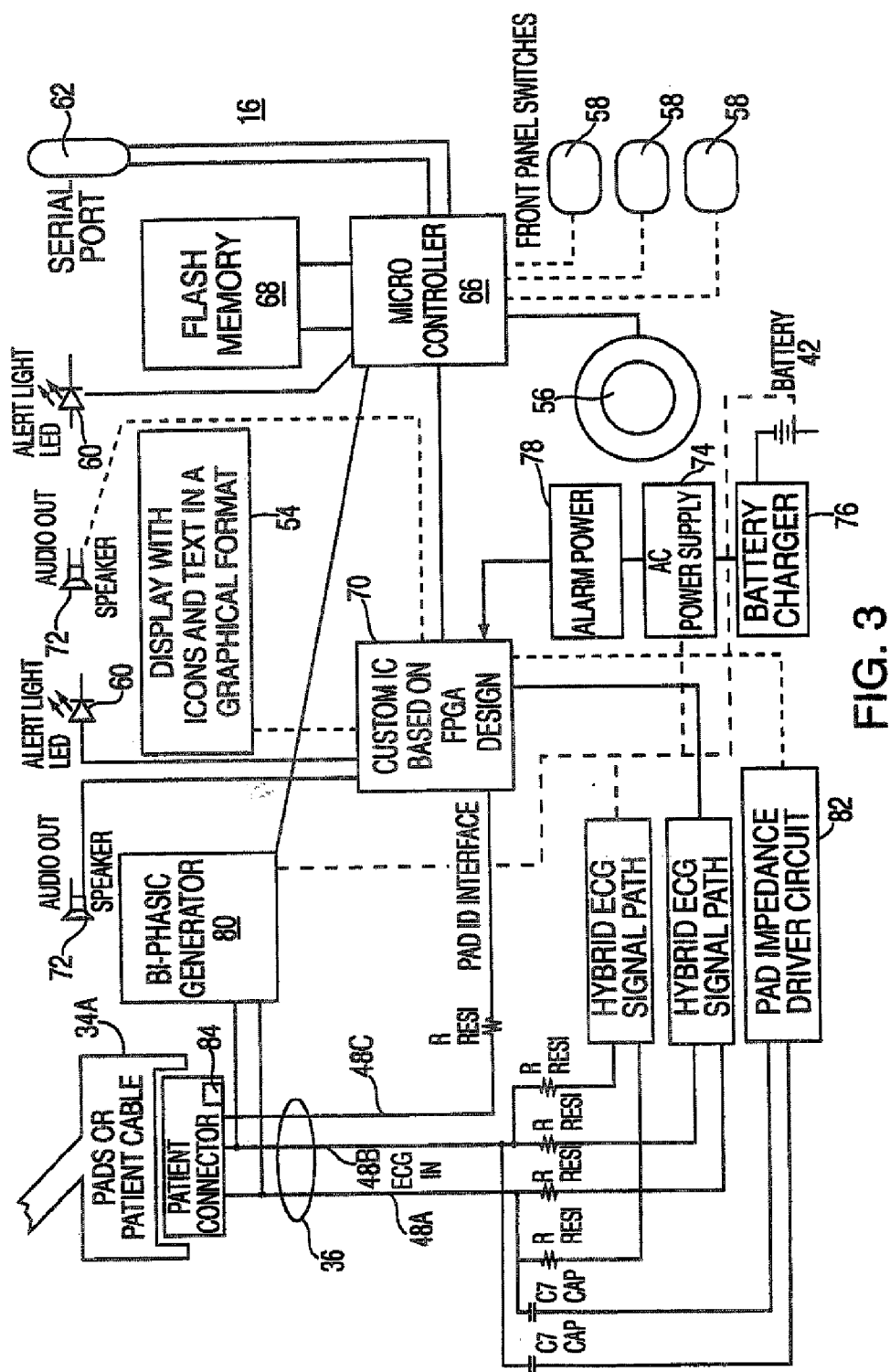


FIG. 2



**FIG. 3**

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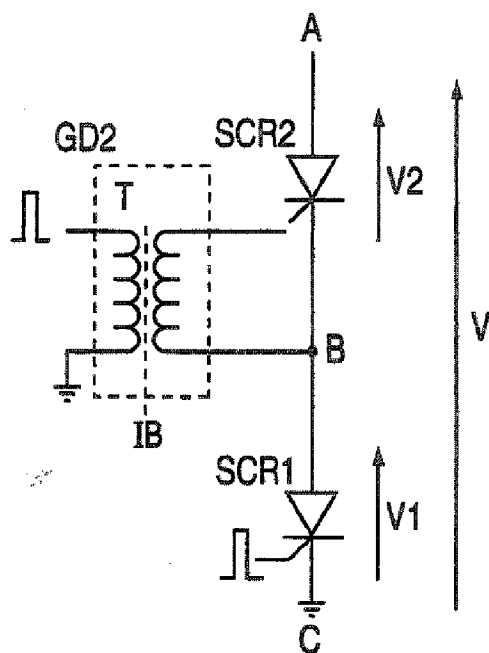


FIG. 4a

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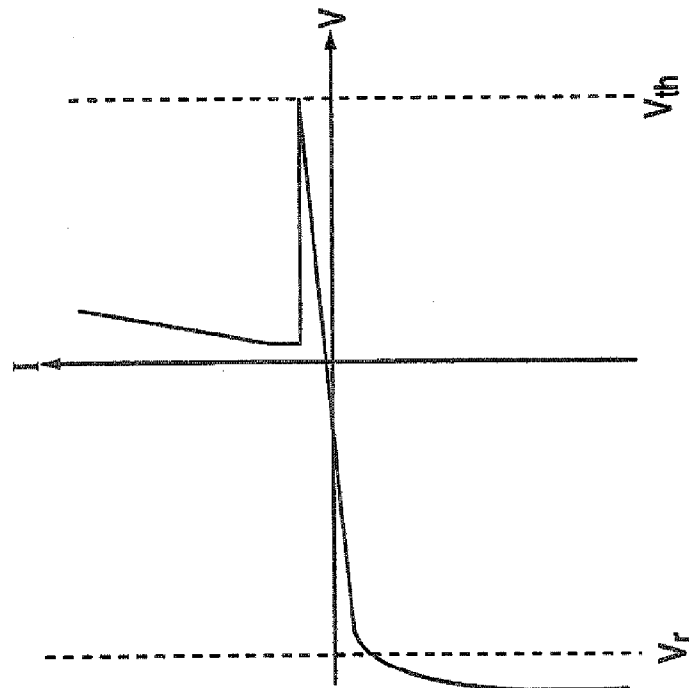


FIG. 4e

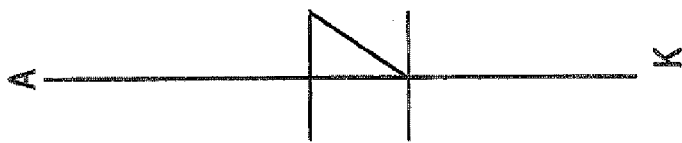


FIG. 4c

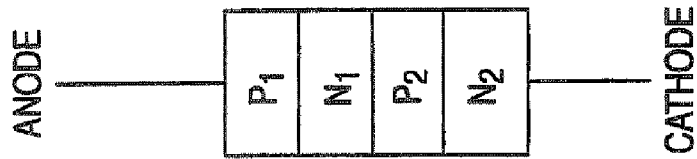


FIG. 4b



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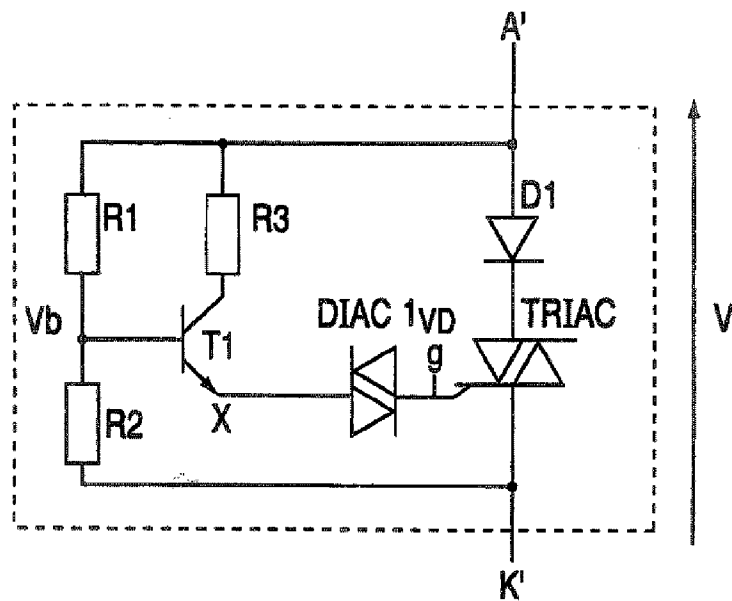


FIG. 4f

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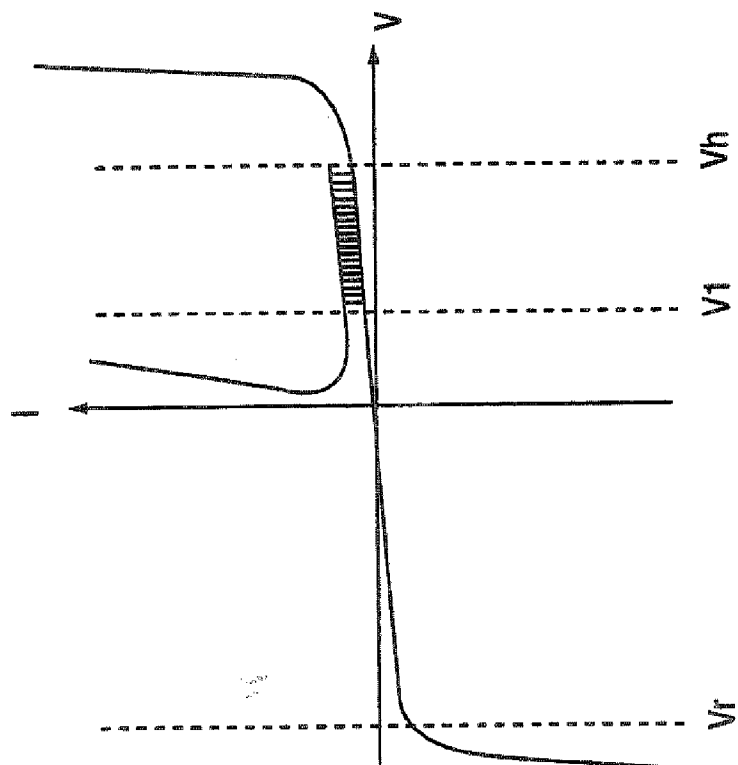


FIG. 4h

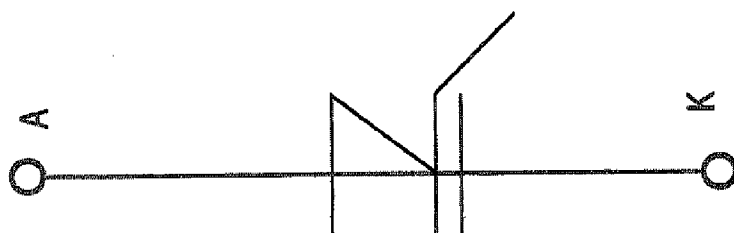


FIG. 4g

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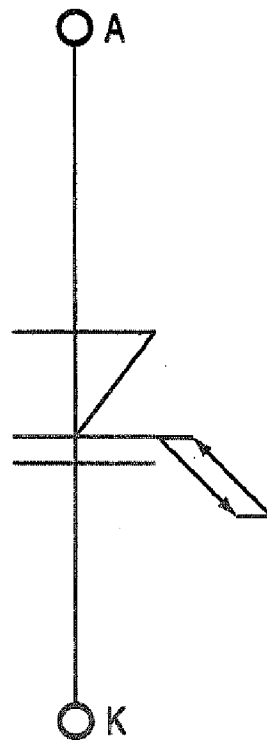


FIG. 5a

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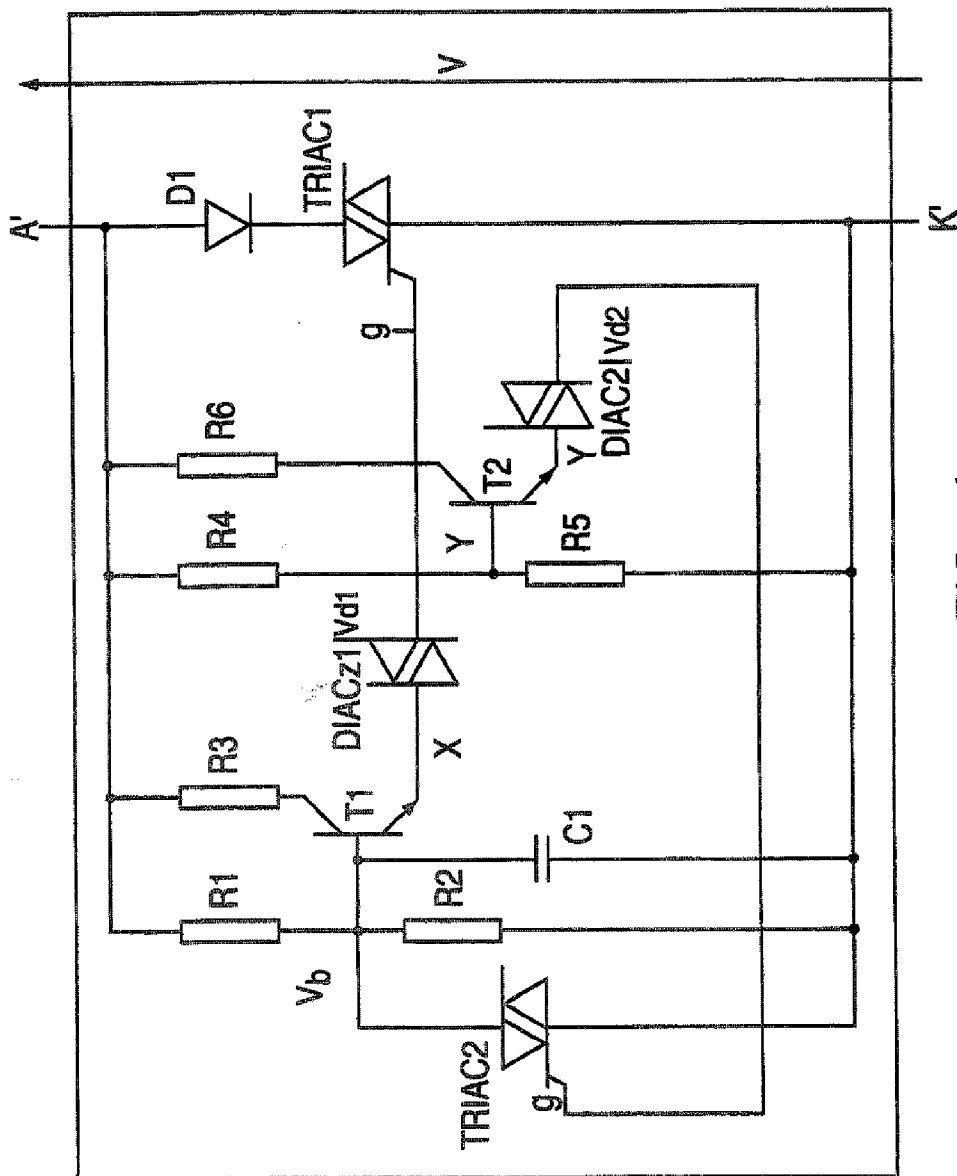


FIG. 5b

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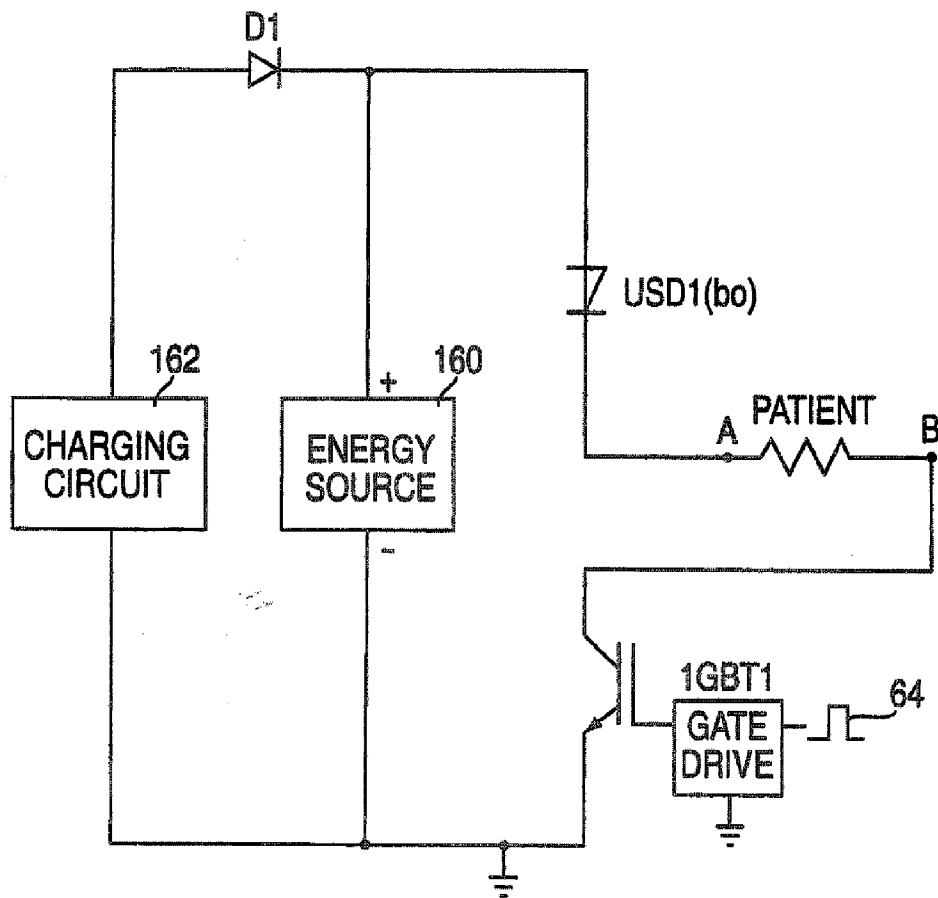


FIG. 6

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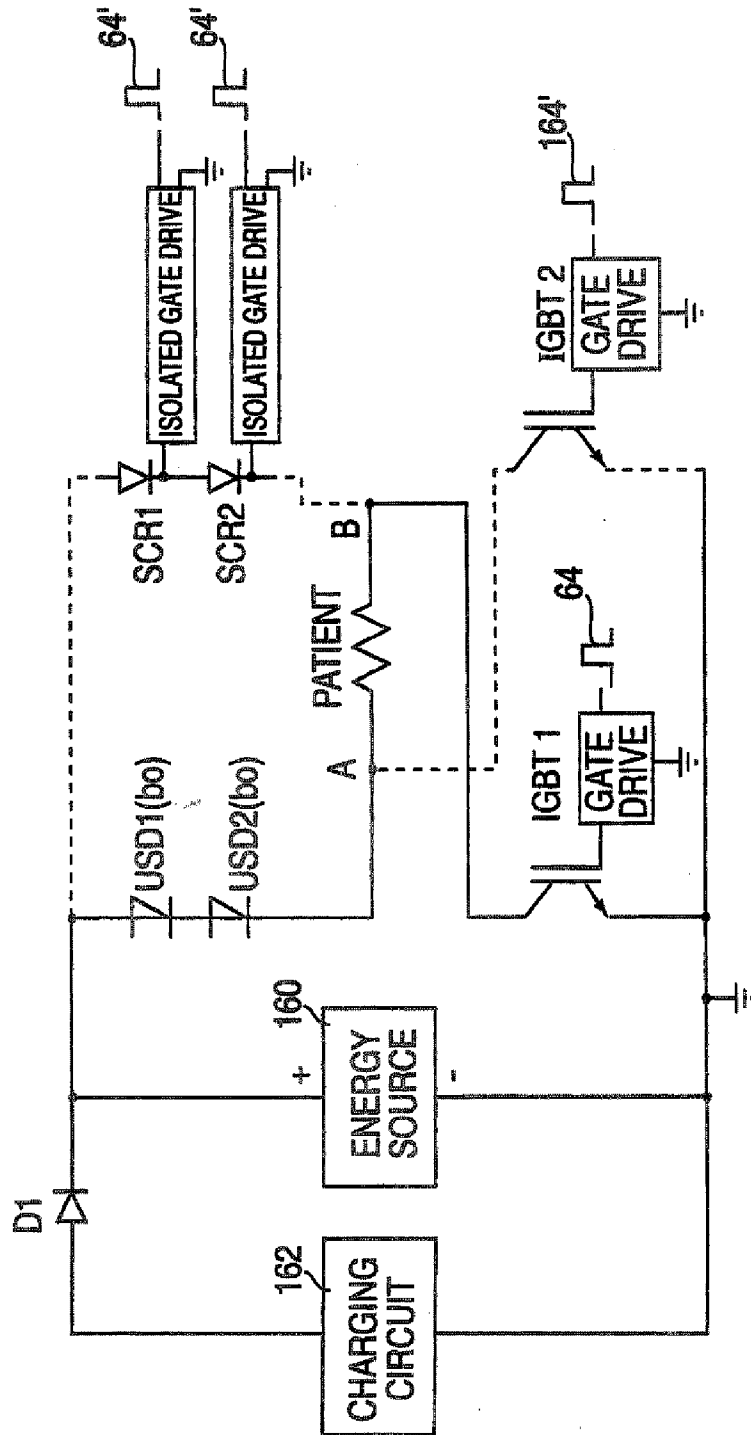


FIG. 7

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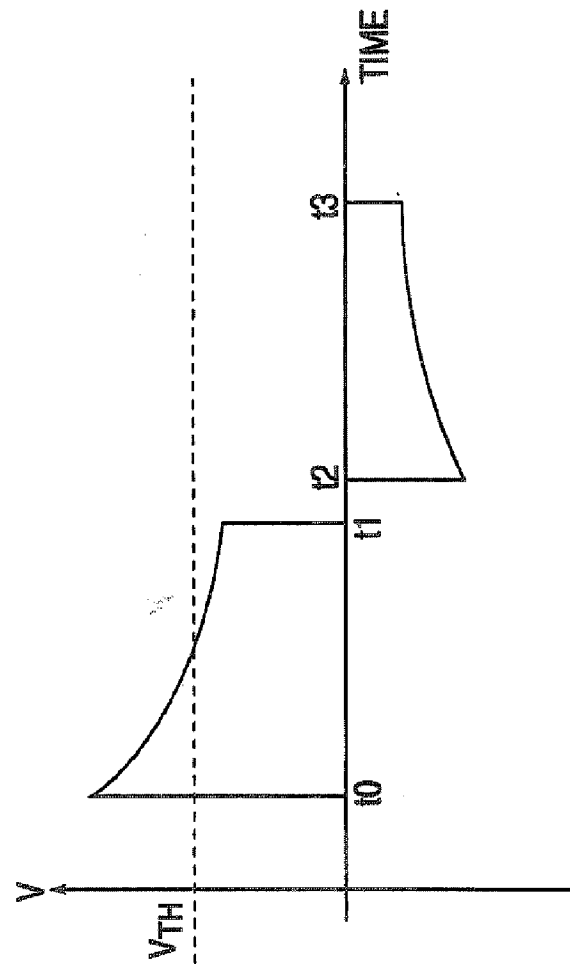


FIG. 8

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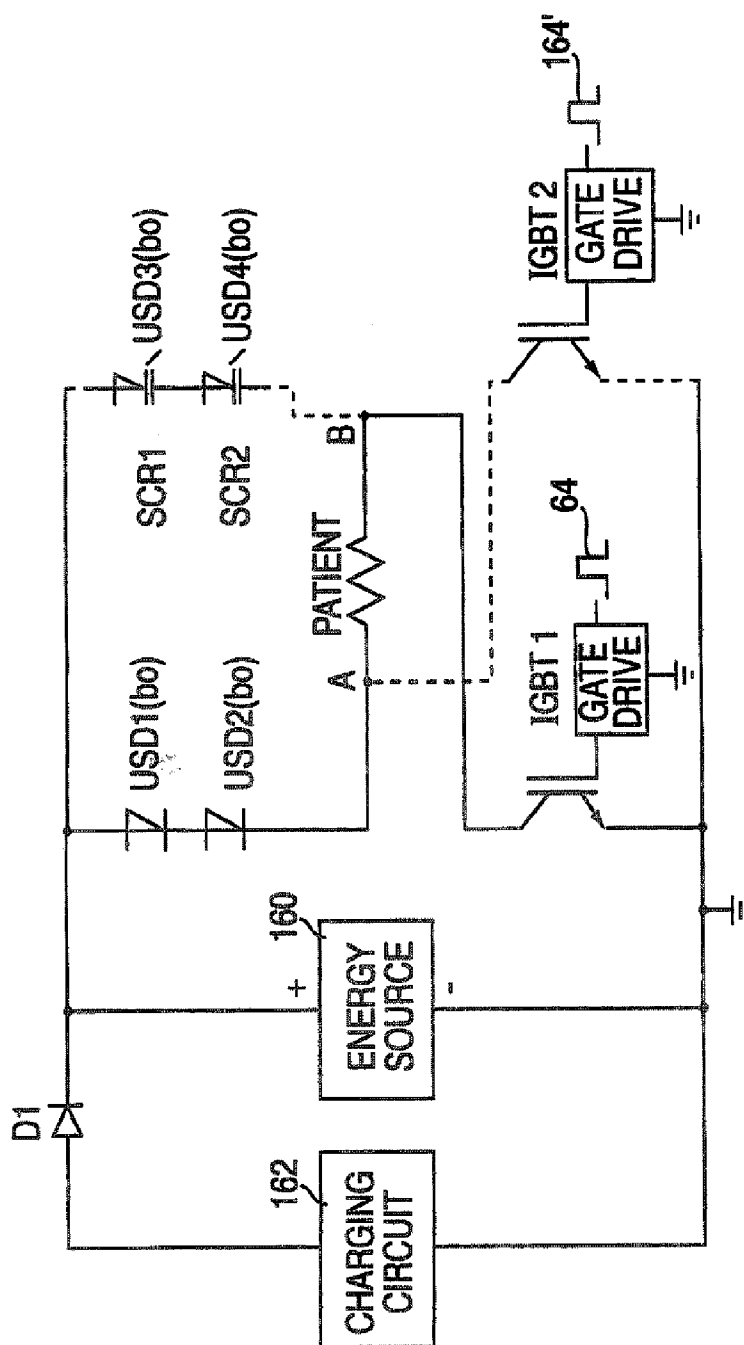


FIG. 9



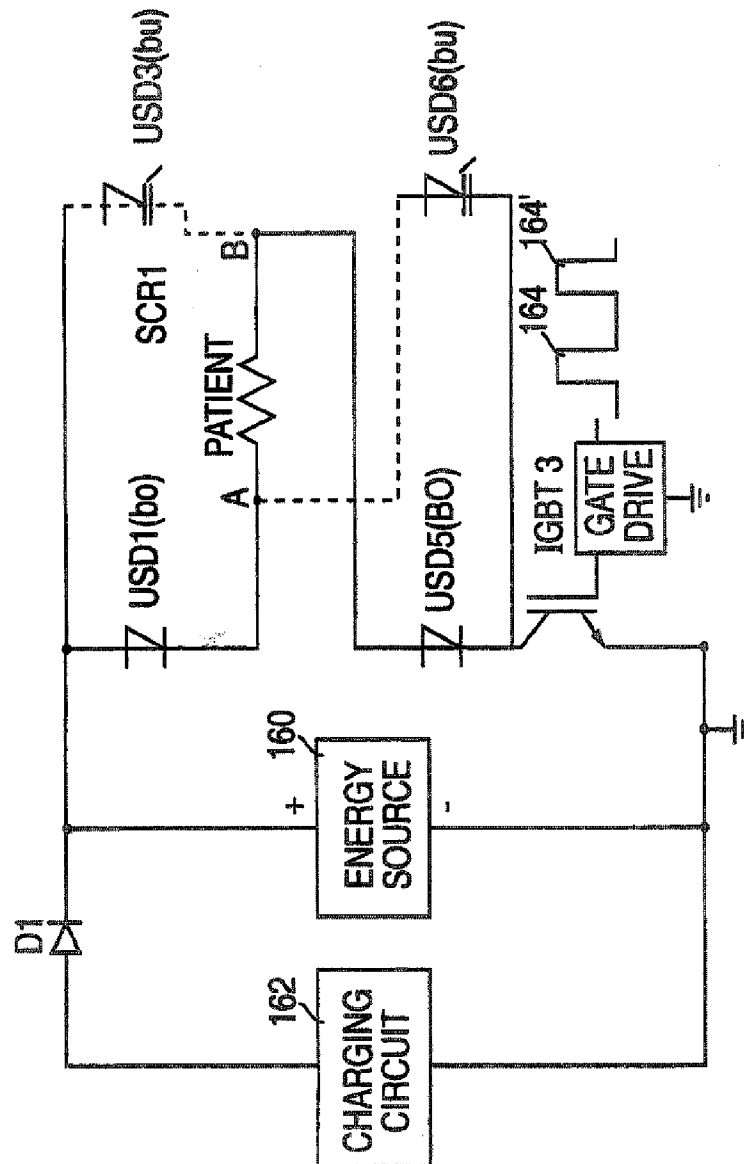


FIG. 10

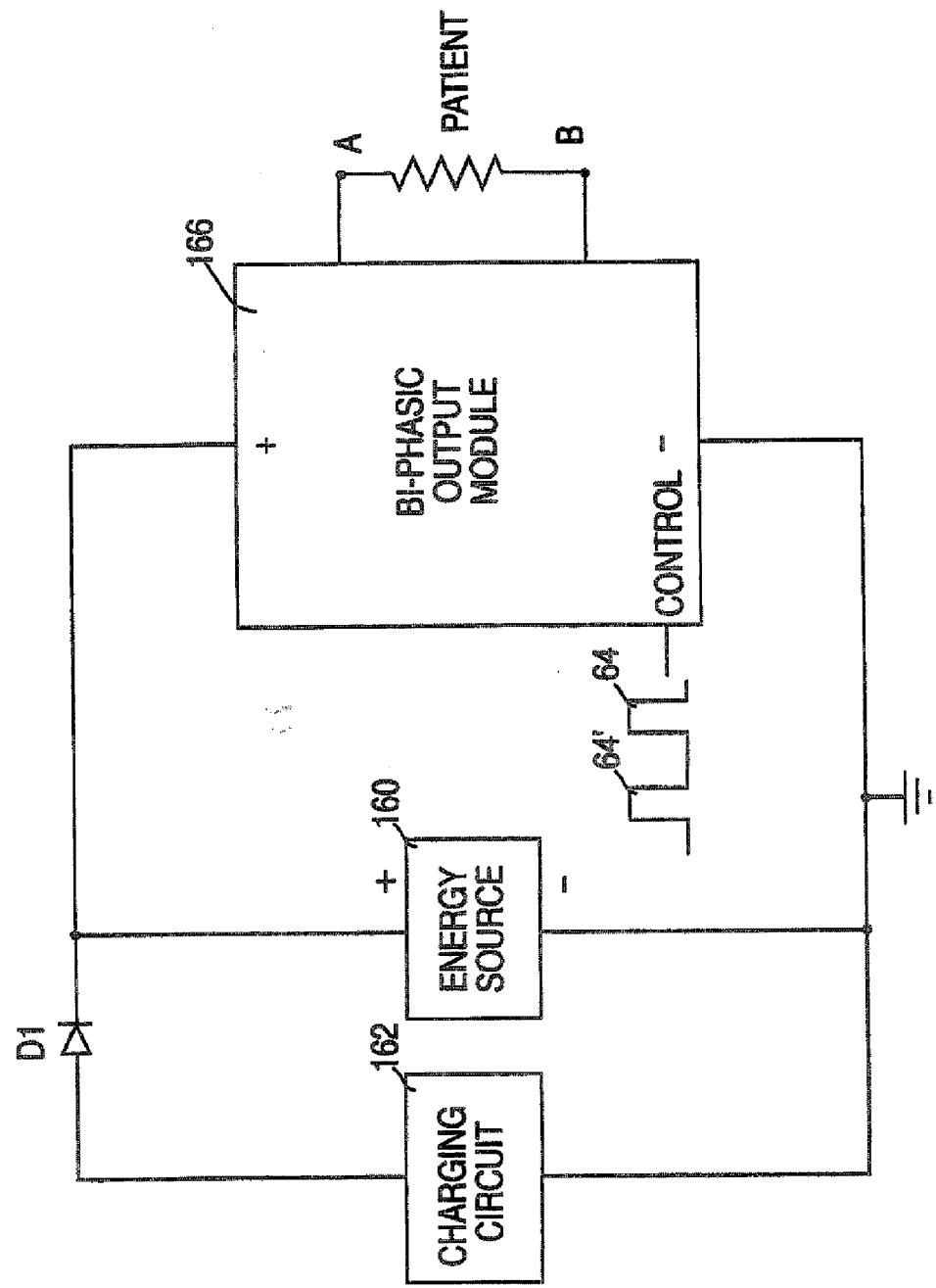


FIG. 11

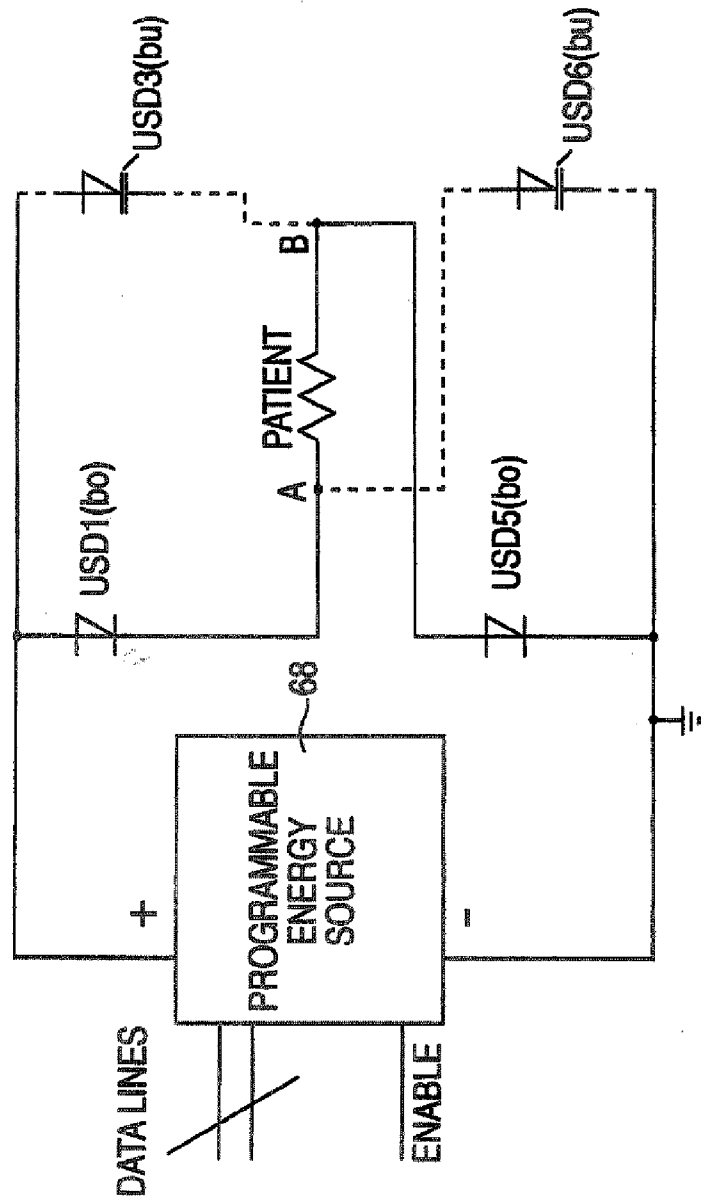


FIG. 12a

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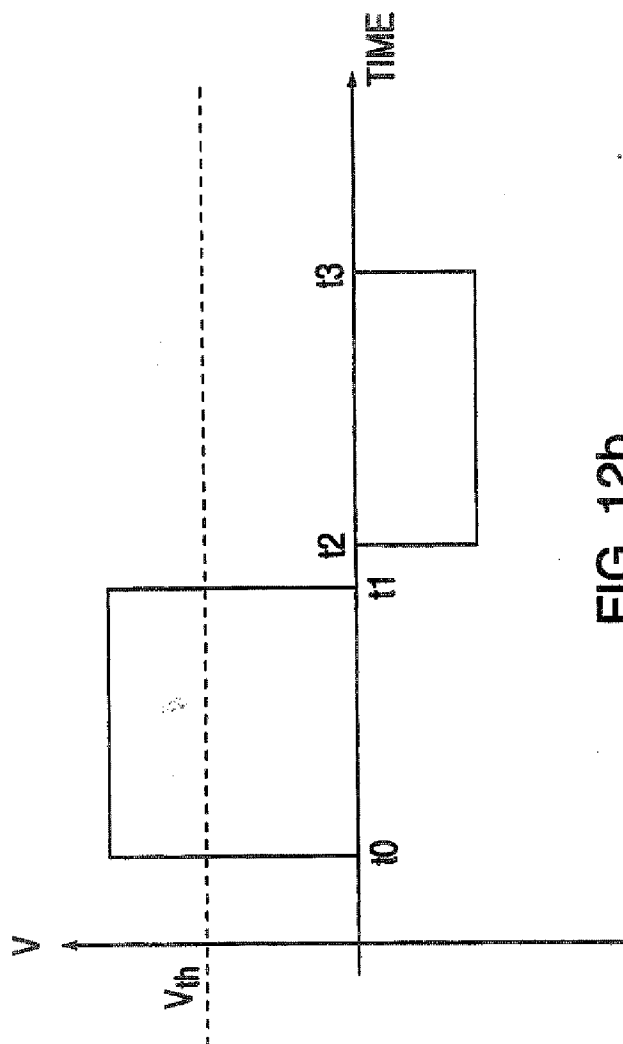


FIG. 12b

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US90/03660

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :A61N 1/18

US CL :607/5

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 607/5; 600/510

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,295,474 A (Fischell) 20 October 1981. See entire reference	1

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*F* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

26 MARCH 2001

Date of mailing of the international search report

01 MAY 2001

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Authorized officer

Mark Bockelman

Telephone No. (703) 306-8112

日本技術貿易株式会社 (一部) 回答

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06-12-13:02:00PM;  
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FAX 2215 審判 1/12

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06年12月13日

日本技術貿易株式会社  
特許部

〒105-8408 港区新橋1丁目7番13号

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PCT/US02/11849 2002/04/23  
発明名称: キャップストック用樹脂組成物

担当	担当
	特許部 06.12.13 大田

担当: 太田 様  
TEL: 03-6203-9217  
FAX: 03-6203-9480

振記の件につき、次の書類を御送付申し上げますので御査収下さい。

現地特許庁から送付のあったもの

- ☐ 出願番号通知書: 願番 出願年月日: (優先権主張年月日: )
- ☐ 登録済み 譲渡証
- ☐ 新規性調査報告書 ( 年 月 日付)
- ☐ 公開明細書/公開サーチレポート (公開日: 年 月 日)
- ☐ 指令書 特許期限 (予定) 年 月 日 特許庁期限 06年12月22日
- ☐ 引例・資料 ( 年 月 日付)
- ☐ 査定・決定 (公告・登録・拒絶) ( 年 月 日付)
- ☐ 公告明細書 (公告日 年 月 日)
- ☐ Official Receipt
- ☐ Official Communication ( 年 月 日付)

回答要

現地代理人から送付のあったもの

- ☒ 代理人書簡 ( 06年12月12日付)
- ☐ 代理人作成補正案
- ☐ 英訳文 (指令書・クレーム・Decision)
- ☒ Declarationドラフト

現地代理人に送付したもの

- ☐ 書簡 ( 年 月 日付)
- ☐ 審査請求依頼書 ( 年 月 日付)
- ☐ 補正書・意見書提出依頼書 ( 年 月 日付)
- ☐ 放棄・取下げ指示書 ( 年 月 日付)

現地特許庁へ提出したもの

- ☐ 出願書類 (願書・明細書・図面・宣誓書・委任状)
- ☐ 優先権証明書提出書類
- ☐ 審査請求書
- ☐ 補正書・意見書
- ☐ 期限延長申請書
- ☐ Information Disclosure Statement

その他

コメント

現地代理人より DECLARATION のドラフトが参りましたので、取り急ぎお送り致します。内容についてご確認下さい。現地代理人方にて、現在、応答案を作成中ですが、引例 TAJIMA (貴社特許) への対応について、貴社コメントを求めています。引例 TAJIMA の 103(A) 拒絶については、COMMON OWNERSHIP STATEMENT の提出により対応可能であると現地代理人はコメントしていますが提出しても宜しいでしょうか。ご確認下さい。

Declarationドラフトは拒絶です。後日回答します。

Common Ownership Statement 提出 同意します。

Tajima, 本件 更に ちねと

の英訳です。

御留意下さい。

12/13 林克彦

共同出願人: カネカチキサス株式会社様

(本件に関する弊社請求明細書は P P

です)